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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. GSC 13,817-4

First Inventor Norden E. Huang

Title Empirical Mode Decomposition for Acoustical Signal

Express Mail Label No.

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification [Total Pages 96]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 121 ]

5. Oath or Declaration [ Total Pages ]

- a.  Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)

i.  **DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of (when there is an assignee)  Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part (CIP)

of prior application No. 10,011,206

Prior application information: Examiner: Group Art Unit:

**For CONTINUATION OR DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	21872 PATENT TRADEMARK OFFICE			<input type="checkbox"/> or <input type="checkbox"/> Correspondence address below
Name				State
Address				
City				
Country	Telephone			Fax

Name (Print/Type)	Chan K. Park	Registration No. (Attorney/Agent)	48,175
Signature			Date 2/12/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual/case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

870.00

## Complete if Known

Application Number	
Filing Date	2/13/02
First Named Inventor	Norden E. Huang
Examiner Name	
Group Art Unit	
Attorney Docket No.	GSC 13,817-4

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- |                        |         |
|------------------------|---------|
| Deposit Account Number | 14-0116 |
| Deposit Account Name   | NASA    |
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- Applicant claims small entity status. See 37 CFR 1.27
2.  Payment Enclosed:  
 Check     Credit card     Money Order     Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	740	201	370 Utility filing fee
106	330	206	165 Design filing fee
107	510	207	255 Plant filing fee
108	740	208	370 Reissue filing fee
114	160	214	80 Provisional filing fee
<b>SUBTOTAL (1) (\$)</b>			<b>740.00</b>

## 2. EXTRA CLAIM FEES

Total Claims	20	-20** =	X	=	0.00
Independent Claims	3	- 3*** =	X	=	0.00
Multiple Dependent					

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	
103	18	203	9 Claims in excess of 20
102	84	202	42 Independent claims in excess of 3
104	280	204	140 Multiple dependent claim, if not paid
109	84	209	42 ** Reissue independent claims over original patent
110	18	210	9 ** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2) (\$)</b>			

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

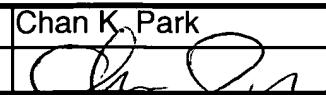
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath
127	50	227	25 Surcharge - late provisional filing fee or cover sheet
139	130	139	130 Non-English specification
147	2,520	147	2,520 For filing a request for ex parte reexamination
112	920*	112	920* Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action
115	110	215	55 Extension for reply within first month
116	400	216	200 Extension for reply within second month
117	920	217	460 Extension for reply within third month
118	1,440	218	720 Extension for reply within fourth month
128	1,960	228	980 Extension for reply within fifth month
119	320	219	160 Notice of Appeal
120	320	220	160 Filing a brief in support of an appeal
121	280	221	140 Request for oral hearing
138	1,510	138	1,510 Petition to institute a public use proceeding
140	110	240	55 Petition to revive - unavoidable
141	1,280	241	640 Petition to revive - unintentional
142	1,280	242	640 Utility issue fee (or reissue)
143	460	243	230 Design issue fee
144	620	244	310 Plant issue fee
122	130	122	130 Petitions to the Commissioner
123	50	123	50 Processing fee under 37 CFR 1.17(q)
126	180	126	180 Submission of Information Disclosure Stmt
581	40	581	40 Recording each patent assignment per property (times number of properties)
146	740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))
149	740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))
179	740	279	370 Request for Continued Examination (RCE)
169	900	169	900 Request for expedited examination of a design application
Other fee (specify) _____			

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

130.00

## SUBMITTED BY

Name (Print/Type)	Chan K. Park	Registration No. (Attorney/Agent)	48,175	Telephone	301-286-7351	
Signature					Date	2/13/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Norden E. Huang

Serial No. :

Group:

Filed: Concurrently Herewith

Examiner:

For:

**EMPIRICAL MODE DECOMPOSITION FOR ANALYZING  
ACOUSTICAL SIGNALS**

LETTER TO THE OFFICIAL DRAFTSPERSON  
AND PETITION UNDER 37 C.F.R. § 1.84 (a) (2)  
REQUESTING ACCEPTANCE OF COLOR DRAWINGS

February 13, 2002

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Attached hereto are three sets of twenty seven (27) sheet(s) of color drawings which comply with the provisions of 37 C.F.R. § 1.84 (a) (2). The color figures are Figs. 6(a), 6(b), 6(c), 29, 33, 38, 47(a), 47(b), 48(a), 48(b), 49(a), 49(b), 50, 51(a), 51(b), 52(a), 52(b), 53(a), 53(b), 54(a), 54(b), 58(a), 58(b), 59(b), 62(a), 62(b), and 62(c). The drawings should be made a part of the record of the above-identified application.

Applicant respectfully petitions under 37 C.F.R. § 1.84 (a) (2) and requests that the Patent Office accept the accompanying color drawings as the official drawings in the

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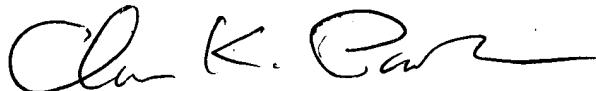
present application. Color drawings are necessary because they are the only practical medium by which to disclose the subject matter sought to be patented. One of the inventive aspects disclosed and claimed in the above-identified application is generating color screen displays (e.g. color Hilbert spectrum displays). Such color screen displays permit an operator, such as a research scientist, to fully analyze a range of properties in the processed signal. Because some valuable information would be lost if black and white screen displays are utilized, color screen displays (as represented by the color drawings submitted herewith) are necessary as the only practical medium by which to disclose the subject matter sought to be patented.

Page 1 of the specification contains the Color Drawing Notification.

Applicant has included the appropriate petition fee of \$130.00, under 37 C.F.R. § 1.17 (h) and the petition under 37 C.F.R. § 1.84 (a) (2).

Please charge any fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 14-0116.

Respectfully submitted,



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